- Mary

Attorney Docket No: 2003-0059 (ATT.0180000)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Begeja et al.

Serial No.:

10/802,812

Examiner:

To Be Assigned

Confirmation No.:

5880

Art Unit:

2641

Filed:

3/18/2004

For:

SYSTEM AND METHOD FOR AUTOMATIC GENERATION OF A

NATURAL LANGUAGE UNDERSTANDING MODEL

Commissioner for Patents Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[X]	In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS
	is required.

[] In accordance with 37 C.F.R. §1.97(c), also enclosed is:

[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or

a statement as specified in 37 C.F.R. §1.97(e).

[] In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.

[] Authorization to charge a credit card for the total fee is attached.

[X] A return receipt postcard is also enclosed.

Respectfully submitted,

Dated: 3/31/05

By:

Duane S. Kobayashi

Reg. No. 41122

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

[X]	Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form
	PTO/SB/08A is enclosed.

[]	Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R.
	§1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents
	and patent applications cited in the attached Form PTO/SB/08 are being provided

No copies of the publications listed on the attached Form PTO/SB/08A are being
provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously
cited by or submitted to the Office in prior Application Serial No to which
the above-identified application claims priority under 35 U.S.C. §120.

Publication(s) listed on the attached Form PTO/SB/08A were cited in a foreign
search or examination report corresponding to application serial no and
mailed on

[]	Enclosed is a copy of a non-English publication(s) Pursuant to §609 of the
	M.P.E.P., Applicant submits the attached foreign search or examination report,
	which cites such non-English language publication(s).

Appl. No.: 10/802,812 Inventor: Begeja et al. Page 2 of 2

			ed is a copy of a non-English publication(s) English language ation (copy enclosed) claims priority from this non-English publication.		
		Enclosed is an explanation of non-English publication(s) for which an Engli translation is not available.			
		Enclosed is an English translation of non-English publication(s)cited in the attached Form PTO/SB/08A.			
	[X]	10/407 2003, a directe Applic now or respect	raminer's attention is directed to co-pending patent Application Serial No. <u>964</u> , filed April 4, 2003, Application Serial No. <u>10/407,565</u> , filed April 4, and Application Serial No. <u>10/755,014</u> , filed January 9, 2004, which is d to related technical subject matter. The identification of this U.S. Patent ation is not to be construed as a waiver of secrecy as to that application application is upon issuance of the present application as a patent. The Examiner is affully requested to consider the cited application and the art cited therein examination of the present application.		
		ıformati	on Disclosure Statement is filed within any one of the following time		
periods: [] within three months from the filing date of this national application than a CPA under 37 C.F.R. § 1.53(d); [] within three months from the date of entry of the national stage as forth in 37 C.F.R. §1.491 in this international application;					
				[X] before the mailing date of a first office action on the merits; or	
		0	before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.		
	It is re	spectful	lly requested that the Examiner consider the above-noted information and		
return	an initi	aled cop	by of the attached Form PTO/SB/08A to the undersigned.		

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3/3/105

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By:

Duane S. Kobayashi

Respectfully submitted,

Reg. No. 41122

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5	/Substitute	Substitute for form 1449B/PTO			Application Number	10/802,812	
Meto	****				Filing Date	3/18/2004	
M					First Named Inventor	BEGEJA ET AL.	
	STAT	EMENT	BY A	PPLICANT	Group Art Unit	2641	
L	(use as many sheets as necessary)				Examiner Name	To Be Assigned	
She	et		of	1	Attorney Docket Number	2003-0059 (ATT.0180000)	
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		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	Cl	L. Begeja, D. Gibbon, Z. Liu, B. Renger, B. Shahraray, "Interactive Machine Learning Techniques for Improving SLU Models," <i>HLT/NAACL Workshop on Spoken Language Understanding for Conversational Systems</i> , Boston, MA, May 6, 2004.	
····			
Examiner		Date	

I	Examiner	Date	
ı	Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.
² Applicant is to place a check mark here if English language Translation attached.